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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	1-24016
First Inventor	Alvin D. Compaan and Akhlesh Gupta
Title	Method Of Making Diode Structures
Express Mail Label	EL 964022136 US

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>		ADDRESS TO:	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original, and a duplicate for fee processing)</small>		<small>Mail Stop Patent Application Commissioner for Patents P. O. Box 1450 Alexandria VA 22313-1450</small>	
2. <input checked="" type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27.</small>		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
3. <input checked="" type="checkbox"/> Specification <small>[Total Pages</small> 28 <small>]</small> <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (<i>if filed</i>) - Detailed Description - Claim(s) - Abstract of the Disclosure 		8. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> <ol style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on: <ol style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statements verifying identity of above copies 	
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <small>[Total Sheets</small> 3 <small>]</small>		9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))	
5. Oath or Declaration <small>[Total Pages</small> 2 <small>]</small> <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 18 completed)</small> <ol style="list-style-type: none"> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 		10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <small>(when there is an assignee)</small> <input type="checkbox"/> Power of Attorney	
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		11. <input type="checkbox"/> English Translation Document (<i>if applicable</i>)	
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: _____		12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
19. CORRESPONDENCE ADDRESS		13. <input type="checkbox"/> Preliminary Amendment	
<input checked="" type="checkbox"/> Customer Number: 04859		14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small>	
Name _____		15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small>	
Address _____		16. <input checked="" type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.	
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Country _____ Telephone _____ Fax _____		Group / Art Unit: _____	

Prior application information: Examiner _____		Group / Art Unit: _____	
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.			

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Name (Print/Type)	Ted C. Gillespie	Registration No. (Attorney/Agent)	27,981
Signature			
Date	November 26, 2003		

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FEE TRANSMITTAL for FY 2004		<i>Complete if Known</i>	
Effective 10/01/2003. Patent fees are subject to annual revision.			
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		First Named Inventor Alvin D. Compaan and Akhlesh Gupta	
TOTAL AMOUNT OF PAYMENT (\$) \$682.00		Examiner Name Art Unit Attorney Docket No. 1-24016	

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)																																																																																																					
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account: Deposit Account Number 13-0005 Deposit Account Name MacMillan, Sobanski & Todd		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Fee Code</td> <td>Fee Code (\$)</td> <td>Fee (\$)</td> <td></td> </tr> <tr> <td>1051</td> <td>130</td> <td>2051 65</td> <td>Surcharge - late filing fee or oath</td> </tr> <tr> <td>1052</td> <td>50</td> <td>2052 25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> </tr> <tr> <td>1053</td> <td>130</td> <td>1053 130</td> <td>Non - English specification</td> </tr> <tr> <td>1812</td> <td>2,520</td> <td>1812 2,520</td> <td>For filing a request for ex parte reexamination</td> </tr> <tr> <td>1804</td> <td>920*</td> <td>1804 920*</td> <td>Requesting publication of SIR prior to Examiner action</td> </tr> <tr> <td>1805</td> <td>1,840*</td> <td>1805 1,840*</td> <td>Requesting publication of SIR after Examiner action</td> </tr> <tr> <td>1251</td> <td>110</td> <td>2251 55</td> <td>Extension for reply within first month</td> </tr> <tr> <td>1252</td> <td>420</td> <td>2252 210</td> <td>Extension for reply within second month</td> </tr> <tr> <td>1253</td> <td>950</td> <td>2253 475</td> <td>Extension for reply within third month</td> </tr> <tr> <td>1254</td> <td>1,480</td> <td>2254 740</td> <td>Extension for reply within fourth month</td> </tr> <tr> <td>1255</td> <td>2,010</td> <td>2255 1,005</td> <td>Extension for reply within fifth month</td> </tr> <tr> <td>1401</td> <td>330</td> <td>2401 165</td> <td>Notice of Appeal</td> </tr> <tr> <td>1402</td> <td>330</td> <td>2402 165</td> <td>Filing a brief in support of an appeal</td> </tr> <tr> <td>1403</td> <td>290</td> <td>2403 145</td> <td>Request for oral hearing</td> </tr> <tr> <td>1451</td> <td>1,510</td> <td>1451 1,510</td> <td>Petition to institute a public use proceeding</td> </tr> <tr> <td>1452</td> <td>110</td> <td>2452 55</td> <td>Petition to revive - unavoidable</td> </tr> <tr> <td>1453</td> <td>1,330</td> <td>2453 665</td> <td>Petition to revive - unintentional</td> </tr> <tr> <td>1501</td> <td>1,330</td> <td>2501 665</td> <td>Utility issue fee (or reissue)</td> </tr> <tr> <td>1502</td> <td>480</td> <td>2502 240</td> <td>Design issue fee</td> </tr> <tr> <td>1503</td> <td>640</td> <td>2503 320</td> <td>Plant issue fee</td> </tr> <tr> <td>Total Claims</td> <td>39</td> <td>-20** = 19</td> <td>X 9.00 = 171.00</td> </tr> <tr> <td>Independent Claims</td> <td>5</td> <td>-3** = 2</td> <td>X 43.00 = 86.00</td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td></td> <td>=</td> </tr> </tbody> </table>		Large Entity	Small Entity	Fee Description	Fee Paid	Fee Code	Fee Code (\$)	Fee (\$)		1051	130	2051 65	Surcharge - late filing fee or oath	1052	50	2052 25	Surcharge - late provisional filing fee or cover sheet	1053	130	1053 130	Non - English specification	1812	2,520	1812 2,520	For filing a request for ex parte reexamination	1804	920*	1804 920*	Requesting publication of SIR prior to Examiner action	1805	1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	1251	110	2251 55	Extension for reply within first month	1252	420	2252 210	Extension for reply within second month	1253	950	2253 475	Extension for reply within third month	1254	1,480	2254 740	Extension for reply within fourth month	1255	2,010	2255 1,005	Extension for reply within fifth month	1401	330	2401 165	Notice of Appeal	1402	330	2402 165	Filing a brief in support of an appeal	1403	290	2403 145	Request for oral hearing	1451	1,510	1451 1,510	Petition to institute a public use proceeding	1452	110	2452 55	Petition to revive - unavoidable	1453	1,330	2453 665	Petition to revive - unintentional	1501	1,330	2501 665	Utility issue fee (or reissue)	1502	480	2502 240	Design issue fee	1503	640	2503 320	Plant issue fee	Total Claims	39	-20** = 19	X 9.00 = 171.00	Independent Claims	5	-3** = 2	X 43.00 = 86.00	Multiple Dependent			=
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SUBMITTED BY		<i>Complete if applicable</i>		
Name (Print/Type)	Ted C. Gillespie	Registration No. (Attorney/Agent)	27,981	Telephone (419) 255-5900
Signature		Date	November 26, 2003	

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Applicant(s): Alvin D. Compaan and Akhlesh Gupta

Docket No.

1-24016

Serial No.

Filing Date

Examiner

Group Art Unit

Invention: **METHOD OF MAKING DIODE STRUCTURES**

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UTILITY PATENT APPLICATION*(Identify type of correspondence)*

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November 26, 2003*(Date)***Betty J. Borger***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*EL 964022136 US*("Express Mail" Mailing Label Number)*

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**NONPUBLICATION REQUEST
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor		Alvin D. C mpaan et al.
Title	Method Of Making Diode Structures	
Atty Docket Number	1-24016	

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

November 26, 2003

Date



Signature

(419) 255-5900

Telephone number

Ted C. Gillespie, Reg. No. 27,981

Typed or printed name

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Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

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